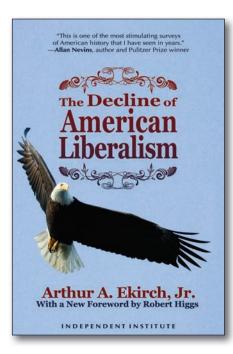
SUMMARY OF A BOOK FROM THE INDEPENDENT INSTITUTE

The Decline of American Liberalism



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BY ARTHUR A. EKIRCH, JR.

With a New Foreword by ROBERT HIGGS

Book Highlights

- The American Revolution offered the world hope for a free society based on natural rights. In the decades before 1776, the concept of natural rights, and of government as the agent of a sovereign people, permeated America. Except with respect to slaves and American Indians, colonial America achieved the basic framework of a classical liberal society. The failure to end those rights violations early on, however, hastened the decline of liberalism throughout the land.
- *Classical liberals faced tremendous pressure to compromise their ideals.* Thomas Jefferson, who did so much to spread liberal ideals before he became president, helped undermine them while in the White House. He contributed heavily to the anti-liberal growth of the national government with his Louisiana Purchase (which doubled the national debt), his embargo on trade with war-torn Europe, and his enlargement of the military. In a letter in 1822, Jefferson expressed regret for interpreting his constitutional powers too broadly. By then, however, nationalist ideas were taking root.
- Slavery egregiously violated the liberal principle of natural rights, as did the North's conduct during the Civil War. Both sides seized private property, suppressed freedom of the press, politicized the schools, and imposed military conscription on a scale unprecedented in the history of the United States or Great Britain. Furthermore, the saving of the Union made possible a degree of nationalism and a repudiation of liberalism that were inconceivable in the older federalized United States.
- The progressive movement represented perhaps the first wholesale rejection of individualistic liberalism in American history. Progressives favored greater concentration of economic and political power in the Federal government. Teddy Roosevelt, who typified progressivism at the national level, sought a "balance" between constraining big business and protecting it with his benevolent regulation that moderated competition. Herbert Croly, one of the movement's leading thinkers, blamed individual freedom for creating "a morally and socially undesirable distribution of wealth." The progressives' collective approach to fighting moral crusades reinforced nationalism.
- *World Wars I and II eroded individual liberty in America.* The Espionage and Sedition Acts of 1917 and 1918 made virtually any criticism of the war a criminal offense. Government interventions in the domestic economy during World War I set precedents for further interventions during the Great Depression and World War II, some of which survive to this day.
- The loyalty campaign of the early Cold War undermined the First Amendment and was unnecessary. By March 1952, out of four million individuals whose loyalty was checked, only one out of two hundred required a thorough field investigation and one out of two thousand were removed from government jobs. These dismissals were for alleged disloyalty, not for any misdeeds that could be prosecuted by the courts. Indeed, the whole intent of the loyalty program was to dismiss those individuals whose activities, though suspect, were not such as to subject them to normal legal and judicial procedures.
- *Can classical liberalism return?* The decline of American liberalism is not irreversible, nor does it negate liberalism's world-historic achievement of bringing freedom, dignity, and opportunity to so many. Arthur Ekirch concludes his book with words of solace and hope: "So long as honest history is written—a by no means certain circumstance in a totalitarian world—liberals will at least be able to look back with some satisfaction into the distant past, while they do their best to challenge the fate held out by an increasingly illiberal future."

THE DECLINE OF AMERICAN LIBERALISM

hen the Declaration of Independence spoke of the rights to life, liberty and the pursuit of happiness, the Founders understood these as natural rights and saw their protection as the purpose of government. Within two centuries, however, the political philosophy that guided them—classical liberalism—had long been passé in intellectual circles, and

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Book Synopsis

individual liberty was in retreat from the onslaught of the welfare-warfare state. Even the term "liberalism" no longer meant what it originally stood for: maximum freedom for the individual and strong constraints on government power.

The Independent Institute is delighted to republish the landmark analysis of that trend, *The Decline of American Liberalism*, by renowned historian **Arthur A. Ekirch**, **Jr**., a founding member of the Institute's Board of Advisors. First published in 1955, this powerful book has long been revered by advocates of individual liberty. The reason it has endured owes as much to Ekirch's incisive analysis and masterful erudition as it does to the importance of the subject.

"Even now virtually every reader is sure to learn much from Ekirch's descriptions and evaluations," writes Independent Institute Senior Fellow **Robert Higgs** in his foreword to the new edition. "To my knowledge, no good substitute for *The Decline of American Liberalism* is available, and this new printing serves a valuable purpose by preserving and making conveniently available the great classical-liberal historian's most important contribution to American political and intellectual history."

Liberalism in Early America

The key to understanding U.S. history, according to Ekirch, is to recognize that liberalism and colonial America grew up together. Rooted in the doctrines of the Humanists of the Renaissance, the religious reforms of the Reformation, and the natural-rights philosophy of the Enlightenment, early liberalism was concerned largely with criticizing existing institutions and protecting certain fundamental individual rights from government infringement. Despite the presence of slavery and indentured servitude, liberals viewed the New World as fresh soil in which their principles for a more humane society could take root.

The American Revolution reflected liberalism's respect for reason and individualism: its manifesto, the Declaration of Independence, appealed to world opinion that the revolution was a just cause; its conduct also showed the moderating influence of liberal ideals. Although both sides had their lapses of illiberal brutality and terror, ordinary colonists were largely able to continue their peacetime interests and pursuits. Nevertheless, anti-individualistic, anti-democratic aspects of the war disillusioned many American liberals.

The conservative reaction that followed the revolution represented a direct challenge to the liberal tradition. The Federalists consolidated power, and the war's legacy facilitated the growth of the central government. The rise of a new business class to equip the new government, worries over the repayment of war debts, American Indians in the western frontier, discriminatory trade measures of the separate states, and Shays's Rebellion created additional pressure for a stronger national government and a weakening of liberal policies.

Although the new Constitution exhibited liberalism in its natural-rights philosophy, enumerated and limited powers of the national government, and checks and balances to limit government abuses, it also gave government broad and far-reaching powers over the economic life of the nation, thereby setting the stage for the abandonment of laissez-faire liberalism and the substitution of a policy of economic nationalism or government paternalism. The short-lived Alien and Sedition Acts, pushed by the Federalist Party, were a further strike against liberalism.

The election of Jefferson and his followers in 1800 disrupted the Federalist agenda in the short run, but the Jeffersonian "revolution" was transient. Jefferson sought compromise between the extremes of his own radical followers and the conservative Federalists. The outbreak of new hostilities in Europe led Jefferson to adopt measures to strengthen the central government.

After his presidency Jefferson seemed to realize that the old liberal goals had been subverted by means that his party had taken over from his Federalist predecessors, but the trend toward a larger national government continued. New taxes were levied to pay for the War of 1812, a protective tariff was imposed to encourage favored industries, and pressure mounted for government to build ports, roads, and bridges.

The decades between the War of 1812 and the Civil War showed great strides in the direction of democracy, progress, and reform, but the age of Jacksonian democracy was not a bastion of old-style liberalism. Yielding to the demands of the proslavery South and western expansion, the Jacksonians found themselves supporting the suppression of free speech and free thought and justifying the manifest destiny of the nation at the expense of the rights of Indians and Mexicans.

The Civil War and Its Aftermath

Slavery was the greatest single factor in the decline of nineteenth-century American liberalism. Although many of the Founders expected slavery to die out, it achieved a new vitality in the early 1800s.

The North and South had clashed over differing political and economic interests, and their rivalry for control of the West worsened those tensions. What began as a war over secession led to the defeat of slavery. "And yet, in almost all other respects, the Civil War was to occasion a setback for traditional liberal values," writes Ekirch.

Civil liberties were dealt a harsh blow: Lincoln's administration suspended the writ of habeas corpus, arrested and confined war critics and southern sympathizers, confiscated private property used to aid the Confederacy, and imposed censorship and conscription. In the name of liberal values, the North had undermined them. This was the essential tragedy of the war, according to Ekirch.

During Reconstruction, ill will and obstructionism prevailed on both sides, as victorious Northerners resorted to force and military occupation, and embittered Southerners employed extremist and extralegal means to impede African Americans.

Later, a Populist backlash against the emergence of "big business," often a result of government favoritism, signaled another departure from liberalism. Moreover, a new nationalistic political theory arose to keep pace with the reconstituted Union. In place of Locke and other proponents of natural rights and compact theories of government, American thinkers turned to German philosophers who glorified the role of the state.

Progressivism Eclipses Liberalism

Reformers and intellectuals of the latenineteenth-century looked to Bismark's Germany and England's Fabian Socialists for ideas. Society, they argued, should be based not on individualism but on a collectivist, or nationalized, political and social order. Although they considered themselves "liberal," their divergence from classical liberalism was profound, spawning a progressive "crusader" movement in domestic and international affairs. Only a handful of old liberals stood out against the forces of nationalism engendered by the times.

Advocates of U.S. entry into World War I hoped to extend their crusade for progressive democratic ideals to a war-torn and reactionary Europe. America's fight for "liberal" ideals abroad, however, undermined liberty at home. Soon after U.S. entry, Congress, at the Wilson's urging, passed legislation enacting conscription, establishing an official propaganda office, and suppressing any opposition or dissent. Business leaders were enlisted to oversee government control of the economy.

Although the post-war climate was one of disillusionment with the war, classical liberal ideals remained dormant. Dissidents were prosecuted, immigration was curtailed, and subsidies and protectionism for big business continued. Prohibition gained momentum.

The legacy of the progressives continued long after their official demise: the policies and rhetoric of the New Deal borrowed from Wilson's New Freedom and Teddy Roosevelt's Square Deal. Although the courts struck down some of FDR's programs, intellectual momentum favored federal encroachment in economic matters to achieve collectivist ends.

The Permanent War Economy

New Deal economic planning was easily diverted from peace to war. Conservative

businessmen who opposed spending for domestic reform went along with spending for military purposes. During World War II the interment of Japanese Americans, the suppression of dissidents and conscientious objectors, and an extensive system of rationing and price controls ensured that civil and economic liberties would not be spared in the federal government's quest for control.

After the war a few key developments helped transform the erstwhile republic into what political scientist Harold Lasswell called "the garrison state," such as the creation of the National Security Council and unprecedented peacetime funding of a large military. The merging of big government and big business under the concept of a permanent war economy created a new danger to the individual and a new threat to liberalism.

Tensions generated by the cold war and the accompanying militarization of the American economy brought a new series of attacks upon traditional civil liberties. Whereas existing laws were adequate to prosecute genuine criminal conspiracies, the era's national loyalty oaths, Congressional investigations, and the official registration of organizations deemed subversive created political criminals of those found or presumed guilty by association. As Ekirch puts it, "To the danger of the garrison state there was added the new threat of a police state, with its grim portent for American liberalism."

In his preface to the 1966 edition, Ekirch acknowledged that certain advances had been made since the book was first published—notably in regard to civil rights for African Americans. Nevertheless, he believed his major thesis remained valid. Government-granted freedoms bestowed for membership in a group, he argued, are no substitute for natural rights, a concept long absent from public discourse.

"Individual freedom," Ekirch writes, "continues to be threatened by the forces of nationalism and war—and the resultant concentration of ever greater powers in the institutions of the modern state and its corporate adjuncts."

What others are saying about The Decline of American Liberalism...

"Professor Ekirch has contributed a stimulating interpretation and survey of American development. His chapters on the growth of the garrison state and the cult of national loyalty are a devastating commentary which has the virtue of relating these developments to long term trends in this society." —The Nation

"What distinguishes this book from so many recent discussions of the American political tradition is the obvious sincerity and passion of Arthur Ekirch's love for individual liberty and hatred for the Leviathan state. On this most important of issues he is uncompromisingly on the side of the angels."

-Frank S. Meyer, Co-Founding Editor, National Review

"The New Deal and its successors substituted the ideals of security and equality for freedom and diversity. It is a formidable indictment, and Mr. Ekirch marshals a large body of evidence for it—enough to make his book stimulating and rewarding reading."

—The Economist

"Brilliant, penetrating and often illuminating study of American political history. . . ."

—The New Republic

"Professor Ekirch has written an intelligent and important book. That such a book could be written and published proves that liberalism in America can still be thoughtfully interpreted and eloquently championed."

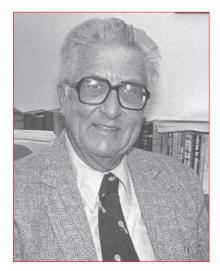
—Merle E. Curti, Pulitzer Prize winner; Professor of History, University of Wisconsin (in *The New York Times*)

"With its brilliant emphasis on ongoing, never-ending battles between forces of centralization and decentralization since our colonial days, *The Decline of American Liberalism* provides the key to fully understand not only our country's past but its present and future too."

-Nick Gillespie, Editor, Reason Online and Reason TV

"In order to understand and evaluate Professor Ekirch's interpretation of the fate of liberalism, one must first understand the meaning he attached to the term. . . . Liberalism is best thought of 'not as a well-defined political or economic system, but as a collection of ideas or principles which go to make up an attitude or habit of mind.' It must include 'the concept of limited representative government and the widest possible freedom of the individual—both intellectually and economically.'"

—American Political Science Review





About the author

Arthur A. Ekirch, Jr., was a professor and author who taught American intellectual history. An expert on the relationship between government power and individual liberties, Ekirch was a founding member of the Board of Advisors of the Independent Institute and held faculty positions at Hofstra University, American University, and the University at Albany.

Toward the end of World War II, Ekirch was drafted and worked in civilian public service camps because of his conscientious objector status. Separated from his family for two years and working without pay, he felt that he was a "political prisoner" during this time, and his political views were significantly affected. *The Civilian and the Military*, one of his more acclaimed books, was informed by this period in his life.

Ekirch wrote nine other books and edited one volume of essays. He held degrees from Dartmouth College and a Ph.D. in history from Columbia University. He was also instrumental in the founding of the Peace History Society, which is an affiliated society of the American Historical Association, and he was a member of the National Coordinating Committee for the Promotion of History, the International Peace Research Association, and the International Congress of Historical Sciences.

THE INDEPENDENT INSTITUTE is a non-profit, non-partisan, scholarly research and educational organization that sponsors comprehensive studies of the political economy of critical social and economic issues.

The politicization of decision-making in society has too often confined public debate to the narrow reconsideration of existing policies. Given the prevailing influence of partisan interests, little social innovation has occurred. In order to understand the nature of and

possible solutions to major public issues, the Independent Institute adheres to the highest standards of independent inquiry, regardless of political or social biases and conventions. The resulting studies are widely distributed as books and other publications, and are publicly debated in numerous conference and media programs. Through this uncommon depth and clarity, the Independent Institute expands the frontiers of our knowledge, redefines the debate over public issues, and fosters new and effective directions for government reform.